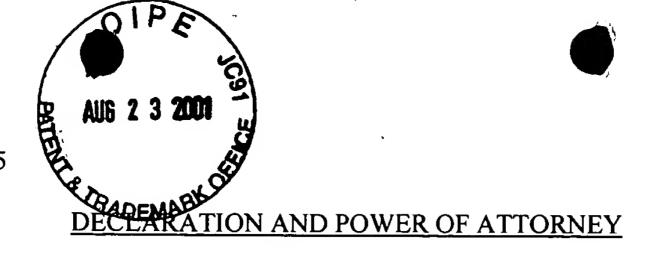
Docket No. 6311-005



· As a below named inventors, We hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ME	THOD AND APPARATU	JS FOR SELLI	NG FINANCIAL INS	FRUMENTS	
the spec	cification of which (check	as A	vas filed on <u>December</u> Application Serial No. on	09/750,417	
	was described and filed on and amended			_	
specific	I hereby state that I hereby state that I hereby ation, including the claim	nave reviewed s, as amended b	and understand the by any amendment refe	contents of the above-identiferred to above.	ied
accorda	I acknowledge the duty nce with Title 37, Code o	to disclose al f Federal Regul	l information I know ation, § 1.56.	to be material to patentability	in
applicat applicat	tion(s) for patent or inven	tor's certificate	listed below and have	States Code § 119 of any forest also identified below any forest that of the application on which	ign
	Prior Foreign Application	n(s)			
	Serial Number	Country	Filing Date	Priority Claimed (Y/N)	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Serial Number</u> 60/173,274

Filing Date 12/28/99

Status expired

And I hereby appoint:

John E. Kidd	Reg. No. 19,916	Gerard P. Norton	Reg. No. 36,621
Victor Siber	Reg. No. 25,149	John T. Johnson	Reg. No. 37,363
Margaret B. Kelley	Reg. No. 29,181	Frank C. Cimino	Reg. No. 39,945
Philip E. Roux	Reg. No. 31,295	Nada Jain	Reg. No. 41,431
Michael J. Pantuliano	Reg. No. 18,971	Mitchell S. Feller	Reg. No. 42,530
Joseph P. Kincart	Reg. No. 43,716	David F. Ries	Reg. No. 43,046
James V. Mahon	Reg. No. 41,966	Anne M. Coughlin	Reg. No. 43,564
Joseph Levi	Reg. No. 41,152	Ira J. Schaefer	Reg. No. 26,802
Victor J. Geraci	Reg. No. 38,157	Steven J. Lever	Reg. No. 46,871
C. Joseph Laughon, II	Reg. No. 31,389	Drew M. Wintringham	Reg. No. 31,894
Leora Ben-Ami	Reg. No. 32,455	Ernest Yakob	Reg. No. 45,893
Robert D. Schaffer	Reg. No. 33,775	Russell DeClerk	Reg. No. 46,364
Michael M. O'Shea	Reg. No. 35,631	Mark Rueh	Reg. No. 43,896
Joel N. Bock	Reg. No. 36,456	TVIGIR ICOVI	106.110.45,070

all of the firm of Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, New York 10166-0153, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all communications to:

James V. Mahon, Esq. Clifford Chance Rogers & Wells LLP 200 Park Avenue, New York, NY 10016 (212) 878-8073

Full name o	f inventor		Stuart J. Clenaghan			
Inventor's si	gnature	2		Date	5/8	24101
Residence	39 00	D Town), rondon	Sw4	032	uk
Citizenship	u	k				
Post Office A	Address	1 *	WARBURG, NDON ECZM	1 FINSBURY 2PP	AVENU	Ł



Docket No. 6311-005

DECLARATION AND POWER OF ATTORNEY

As a below named inventors, We hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

•		
METHOD AND APPARATUS FOR S	ELLING FINANCIAL INSTRU	JMENTS
the specification of which (check one) is: attached hereto	was filed on <u>December 27</u> as Application Serial No. <u>09/7</u> and amended on	750,417
was described and claimed in filed on_ and amended under Art		
I hereby state that I have revise specification, including the claims, as amen		
I acknowledge the duty to discle accordance with Title 37, Code of Federal		be material to patentability in
I hereby claim foreign priority berapplication(s) for patent or inventor's certapplication for patent or inventor's certification priority is claimed:	tificate listed below and have als	so identified below any foreign
Prior Foreign Application(s)		
Serial Number Country	y <u>Filing Date</u>	Priority Claimed (Y/N)

United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: Serial Number Filing Date **Status** 60/173,274 12/28/99

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States

application(s) listed below and, insofar as the subject matter of each of the claims of this application is not

disclosed in the prior United States application in the manner provided by the first paragraph of Title 35,

expired

And I hereby appoint:

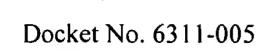
John E. Kidd	Reg. No. 19,916	Gerard P. Norton	Reg. No. 36,621
Victor Siber	Reg. No. 25,149	John T. Johnson	Reg. No. 37,363
Margaret B. Kelley	Reg. No. 29,181	Frank C. Cimino	Reg. No. 39,945
Philip E. Roux	Reg. No. 31,295	Nada Jain	Reg. No. 41,431
Michael J. Pantuliano	Reg. No. 18,971	Mitchell S. Feller	Reg. No. 42,530
Joseph P. Kincart	Reg. No. 43,716	David F. Ries	Reg. No. 43,046
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Joseph Levi	Reg. No. 41,152	Ira J. Schaefer	Reg. No. 26,802
Victor J. Geraci	Reg. No. 38,157	Steven J. Lever	Reg. No. 46,871
C. Joseph Laughon, II	Reg. No. 31,389	Drew M. Wintringham	Reg. No. 31,894
Leora Ben-Ami	Reg. No. 32,455	Ernest Yakob	Reg. No. 45,893
Robert D. Schaffer	Reg. No. 33,775	Russell DeClerk	Reg. No. 46,364
Michael M. O'Shea	Reg. No. 35,631	Mark Rueh	Reg. No. 43,896
Joel N. Bock	Reg. No. 36,456	TVAMIN ACUVII	108.110.45,650

all of the firm of Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, New York 10166-0153, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all communications to:

James V. Mahon, Esq. Clifford Chance Rogers & Wells LLP 200 Park Avenue, New York, NY 10016 (212) 878-8073

Full name of	inventor	Timothy Wood		
Inventor's sign	nature	FWood	Date	5/29/01
Residence	US	t - 16 Great Brook	Rd, Trumbull, CT	06611
Citizenship	USA			
Post Office Ad	ddress	677 Washington Bl	ud Stamford C	T 06901





As a below named inventors, We hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR SI	ELLING FINANCIAL INSTRUMENTS
the specification of which (check one) is: attached hereto	was filed on <u>December 27, 2000</u> as Application Serial No. <u>09/750,417</u> and amended on (if applicable).
was described and claimed in filed on_ and amended under Art	• •
I hereby state that I have reviews specification, including the claims, as amen	ewed and understand the contents of the above-identified added by any amendment referred to above.
I acknowledge the duty to disclo accordance with Title 37, Code of Federal 1	se all information I know to be material to patentability in Regulation, § 1.56.
application(s) for patent or inventor's certi	nefits under Title 35, United States Code § 119 of any foreign ficate listed below and have also identified below any foreign ate having a filing date before that of the application on which
Prior Foreign Application(s)	
Serial Number Country	Filing Date Priority Claimed (Y/N)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Serial Number 60/173,274

Filing Date 12/28/99

Status expired

And I hereby appoint:

John E. Kidd	Reg. No. 19,916	Gerard P. Norton	Reg. No. 36,621
Victor Siber	Reg. No. 25,149	John T. Johnson	Reg. No. 37,363
Margaret B. Kelley	Reg. No. 29,181	Frank C. Cimino	Reg. No. 39,945
Philip E. Roux	Reg. No. 31,295	Nada Jain	Reg. No. 41,431
Michael J. Pantuliano	Reg. No. 18,971	Mitchell S. Feller	Reg. No. 42,530
Joseph P. Kincart	Reg. No. 43,716	David F. Ries	Reg. No. 43,046
James V. Mahon	Reg. No. 41,966	Anne M. Coughlin	Reg. No. 43,564
Joseph Levi	Reg. No. 41,152	Ira J. Schaefer	Reg. No. 26,802
Victor J. Geraci	Reg. No. 38,157	Steven J. Lever	Reg. No. 46,871
C. Joseph Laughon, II	Reg. No. 31,389	Drew M. Wintringham	Reg. No. 31,894
Leora Ben-Ami	Reg. No. 32,455	Ernest Yakob	Reg. No. 45,893
Robert D. Schaffer	Reg. No. 33,775	Russell DeClerk	Reg. No. 46,364
Michael M. O'Shea	Reg. No. 35,631	Mark Rueh	Reg. No. 43,896
Joel N. Bock	Reg. No. 36,456	IVIUIX IXUOII	10g. 110. 45,090

all of the firm of Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, New York 10166-0153, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all communications to:

James V. Mahon, Esq. Clifford Chance Rogers & Wells LLP 200 Park Avenue, New York, NY 1.0016 (212) 878-8073

Full name of	f inventor	Charles	Stietzel		
Inventor's sig	gnature	Chel Stat		Date	MAY 29, 2001
Residence	59 N	EN ENGLAND C	De Stanf	ioris CT	
Citizenship	U.S	. A.			
Post Office A	Address	59 NEWE	NGLAND DR.	STAMFORD	. Cī

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FO	R SELLING F	NANCIAL INSTR	UMENTS
the specification of which (check one) attached hereto	⊠ was fil as Applic	led on <u>December 2'</u> cation Serial No. <u>09/</u> ded on	750,417
was described and claimed filed on_ and amended under			
I hereby state that I have specification, including the claims, as a	reviewed and imended by any	understand the co	ontents of the above-identified ed to above.
I acknowledge the duty to di accordance with Title 37, Code of Fede	sclose all info eral Regulation	rmation I know to , § 1.56.	be material to patentability in
I hereby claim foreign priority application(s) for patent or inventor's application for patent or inventor's cerpriority is claimed:	certificate liste	d below and have a	tates Code § 119 of any foreign lso identified below any foreign that of the application on which
Prior Foreign Application(s)			•
Serial Number Cou	intry	Filing Date	Priority Claimed (Y/N)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Serial Number Filing Date Status 60/173,274 Filing Date expired

And I hereby appoint:

John E. Kidd Victor Siber Margaret B. Kelley Philip E. Roux Michael J. Pantuliano Joseph P. Kincart James V. Mahon Joseph Levi Victor J. Geraci C. Joseph Laughon, II Leora Ben-Ami Robert D. Schaffer Michael M. O'Shea Joel N. Bock	Reg. No. 19,916 Reg. No. 25,149 Reg. No. 29,181 Reg. No. 31,295 Reg. No. 18,971 Reg. No. 43,716 Reg. No. 41,966 Reg. No. 41,152 Reg. No. 38,157 Reg. No. 31,389 Reg. No. 32,455 Reg. No. 33,775 Reg. No. 35,631 Reg. No. 36,456	Gerard P. Norton John T. Johnson Frank C. Cimino Nada Jain Mitchell S. Feller David F. Ries Anne M. Coughlin Ira J. Schaefer Steven J. Lever Drew M. Wintringham Ernest Yakob Russell DeClerk Mark Rueh	Reg. No. 36,621 Reg. No. 37,363 Reg. No. 39,945 Reg. No. 41,431 Reg. No. 42,530 Reg. No. 43,046 Reg. No. 43,564 Reg. No. 26,802 Reg. No. 26,802 Reg. No. 31,894 Reg. No. 45,893 Reg. No. 46,364 Reg. No. 43,896
---	---	---	---

all of the firm of Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, New York 10166-0153, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all communications to:

James V. Mahon, Esq. Clifford Chance Rogers & Wells LLP 200 Park Avenue, New York, NY 10016 (212) 878-8073

Full name of inventor	Tom Ineke
Inventor's signature	Date 19701
Residence 8 Phillims	e Garders, suson 44
Citizenship NETHER	
Post Office Address	Et. & phillorore garders Conson WS
-	

As a below named inventors, We hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

the specification of which (check one) is:	
attached hereto	was filed on <u>December 27, 2000</u> as Application Serial No. <u>09/750,417</u> and amended on (if applicable).
was described and claimed in filed on and amended under Arti	PCT Application No.
I hereby state that I have reviews specification, including the claims, as amen	ewed and understand the contents of the above-identified added by any amendment referred to above.
I acknowledge the duty to disclo accordance with Title 37, Code of Federal I	se all information I know to be material to patentability in Regulation, § 1.56.
application(s) for patent or inventor's certi	nefits under Title 35, United States Code § 119 of any foreign ficate listed below and have also identified below any foreign ate having a filing date before that of the application on which
Prior Foreign Application(s)	
Serial Number Country	Filing Date Priority Claimed (Y/N)

disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Serial Number</u> 60/173,274

Filing Date 12/28/99

Status expired

And I hereby appoint:

John E. Kidd	Reg. No. 19,916	Gerard P. Norton	Reg. No. 36,621
Victor Siber	Reg. No. 25,149	John T. Johnson	Reg. No. 37,363
Margaret B. Kelley	Reg. No. 29,181	Frank C. Cimino	Reg. No. 39,945
Philip E. Roux	Reg. No. 31,295	Nada Jain	Reg. No. 41,431
Michael J. Pantuliano	Reg. No. 18,971	Mitchell S. Feller	Reg. No. 42,530
Joseph P. Kincart	Reg. No. 43,716	David F. Ries	Reg. No. 43,046
James V. Mahon	Reg. No. 41,966	Anne M. Coughlin	Reg. No. 43,564
Joseph Levi	Reg. No. 41,152	Ira J. Schaefer	Reg. No. 26,802
Victor J. Geraci	Reg. No. 38,157	Steven J. Lever	Reg. No. 46,871
C. Joseph Laughon, II	Reg. No. 31,389	Drew M. Wintringham	Reg. No. 31,894
Leora Ben-Ami	Reg. No. 32,455	Ernest Yakob	Reg. No. 45,893
Robert D. Schaffer	Reg. No. 33,775	Russell DeClerk	Reg. No. 46,364
Michael M. O'Shea Joel N. Bock	Reg. No. 35,631 Reg. No. 36,456	Mark Rueh	Reg. No. 43,896

all of the firm of Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, New York 10166-0153, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all communications to:

James V. Mahon, Esq. Clifford Chance Rogers & Wells LLP 200 Park Avenue, New York, NY 10016 (212) 878-8073

Full name of	inventor		David Soanes				
Inventor's sig	nature	2	1		Date	29/	6/01
Residence	UK						
Citizenship	UK						
Post Office A	ddress	100	LIVERPOOL	STREET,	LUNDON	, ECZM	2RH, V

As a below named inventors, We hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD	AND APPARATU	S FOR SELLI	NG FINANCIAL INST	RUMENTS
_	ion of which (check attached hereto	as A	vas filed on <u>December</u> application Serial No. 0 amended on	9/750,417
	was described and o			-
I her specification,	eby state that I hincluding the claims	ave reviewed s, as amended b	and understand the by any amendment refer	contents of the above-identified rred to above.
I ack accordance w	nowledge the duty ith Title 37, Code of	to disclose all Federal Regul	information I know ation, § 1.56.	to be material to patentability in
application(s)	for patent or inventor	tor's certificate	listed below and have	States Code § 119 of any foreign also identified below any foreign re that of the application on which
Prior	Foreign Application	ı(s)		
<u>.</u>	Serial Number	Country	Filing Date	Priority Claimed (Y/N)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Serial NumberFiling DateStatus60/173,27412/28/99expired

And I hereby appoint:

John E. Kidd	Reg. No. 19,916	Michael M. O'Shea	Reg. No. 35,631
Victor Siber	Reg. No. 25,149	Joel N. Bock	Reg. No. 36,456
Margaret B. Kelley	Reg. No. 29,181	Gerard P. Norton	Reg. No. 36,621
Philip E. Roux	Reg. No. 31,295	John T. Johnson	Reg. No. 37,363
Michael J. Pantuliano	Reg. No. 18,971	Frank C. Cimino	Reg. No. 39,945
Joseph P. Kincart	Reg. No. 43,716	Nada Jain	Reg. No. 41,431
James V. Mahon	Reg. No. 41,966	Mitchell S. Feller	Reg. No. 42,530
Joseph Levi	Reg. No. 41,152	David F. Ries	Reg. No. 43,046
Victor J. Geraci	Reg. No. 38,157	Anne M. Coughlin	Reg. No. 43,564
C. Joseph Laughon, II	Reg. No. 31,389	David S. Figatner	Reg. No. 44,106
Leora Ben-Ami	Reg. No. 32,455	Steven J. Lever	Reg. No. 46,871
Robert D. Schaffer	Reg. No. 33,775	~	

all of the firm of Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, New York 10166-0153, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all communications to:

James V. Mahon, Esq. Clifford Chance Rogers & Wells LLP 200 Park Avenue, New York, NY 10016 (212) 878-8073

Full name of inventor # 1	Stuart J. Clenaghan		
Inventor's signature		Date	
Residence			
Citizenship			
Post Office Address		+	
		-	
Full name of inventor # 2	Timothy Wood		
Inventor's signature		Date	
Residence			
Citizenship			
Post Office Address			

Full name of inventor	#3	Charles Stietzel -		
Inventor's signature			Date	
Residence				
Citizenship				
Post Office Address				
			,	
Full name of inventor	#4	Tom Ineke		
Inventor's signature			Date	
Residence			. <u> </u>	
Citizenship			<	ž ;
Post Office Address			: :	
j. ;			•	· · · · · · · · · · · · · · · · · · ·
Full name of inventor	# 5	David Soanes		n A h
Inventor's signature			Date	
Residence		. <u>.</u>		
Citizenship				<u>-</u>
Post Office Address				
		<u> </u>		
Full name of inventor	#6	Bin Ji		
Inventor's signature	to	\$ \$5	Date	May 29, 2001
Residence 83 MORGAN ST, APT 9J, STAMFORD, CT 06905, U.S.A.				
Citizenship	P. R.	China		
Post Office Address 83 MORGAN ST, APT 9J, STAMFORD, CT 06905, U.S. A.				